

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS 37 C.F.R. §§ 1.9(c-f) and 1.27(b-d)		Docket Number: 2011/13	
Application Number 09/013,490	Filing Date January 26, 1998	Examiner Not assigned	Art Unit Not assigned
Invention Title METHOD AND APPARATUS FOR MONITOR SEARCH AND NOTIFICATION IN A NETWORK		Inventor(s) TUZHILIN et al.	

Address to:
Assistant Commissioner for Patents
Washington, D.C. 20231

With respect to the invention described in the above-referenced provisional patent application, I declare that:

I. IDENTITY AND AUTHORITY

I am an official empowered to act on behalf of the nonprofit organization identified below:

ORGANIZATION: **New York University**

ADDRESS: **70 Washington Square South
New York, New York 10012-1091**

ORGANIZATION TYPE: **University**

1. ELIGIBILITY FOR PAYMENT OF REDUCED FEES

The nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 C.F.R. § 1.9(e) for purposes of paying reduced fees under 35 U.S.C. §§ 41(a) and (b).

2. OWNERSHIP OF INVENTION BY DECLARANT

I hereby declare that all rights under contract or law have been conveyed to the above identified Non-Profit Organization, and no rights to the invention are held (1) by any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, (2) any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or (3) any organization which would not qualify as a non-profit organization under 37 CFR 1.9(e).

3. ACKNOWLEDGEMENT OF DUTY TO NOTIFY PTO OF CHANGE IN STATUS

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)).

4. DECLARATION

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under 18 USC 1001, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Signer's Name	Signature <i>Harold J. Rohlfing</i>	Date
Signer's Title:		
Signer's Address: New York University, 550 First Avenue, New York, New York 10016		



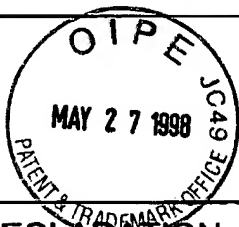
Case No. 2011/13 Atty. GAB
Ser. No. 09/013,490 Due Date

The Impressed Mail Room date stamp acknowledges receipt of the date indicated of:

- | | |
|--|--|
| <input type="checkbox"/> Application | <input type="checkbox"/> Extension Request |
| <input type="checkbox"/> Amendment | <input type="checkbox"/> Priority Document |
| <input type="checkbox"/> Assignment | <input type="checkbox"/> Issue Fee |
| <input type="checkbox"/> Notice of Appeal | <input type="checkbox"/> Declaration |
| <input type="checkbox"/> Prior Art Statement | <input checked="" type="checkbox"/> Small Entity & REQ. FOR REFUND |
| <input type="checkbox"/> Appeal Brief | <input checked="" type="checkbox"/> Deposit Acct. 11-0600 |

3/26/98





U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

DECLARATION

ATTORNEY'S DOCKET NO.
2011/13

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name,

I believe I am an original, first, and joint inventor of the subject matter that is claimed and for which a patent is sought on the invention entitled **METHOD AND APPARATUS FOR MONITOR, SEARCH AND NOTIFICATION IN A NETWORK**, the specification of which was filed on January 26, 1998, as Serial No. 09/013,490.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, § 1.56(a).

SEND CORRESPONDENCE, AND DIRECT TELEPHONE CALLS TO:

KENYON & KENYON
One Broadway
New York, New York 10004
(212) 425-7200 (phone)
(212) 425-5288 (facsimile)

I declare that all statements made herein of my own knowledge are true and all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under § 1001 of Title 18 of the United States Code and that such willful statements may jeopardize the validity of the application or any patent issuing thereon.

FULL NAME OF INVENTOR	FAMILY NAME TUZHILIN	FIRST GIVEN NAME Alexander	SECOND GIVEN NAME S.
RESIDENCE & CITIZENSHIP	CITY New York	STATE OR FOREIGN COUNTRY New York	COUNTRY OF CITIZENSHIP United States of America
POST OFFICE ADDRESS	POST OFFICE ADDRESS 110 Bleeker Street, Apt. 17B	CITY New York	STATE & ZIP CODE/COUNTRY New York 10012
Signature <i>Alex Tuzhilin</i>		Date <i>3/26/98</i>	
FULL NAME OF INVENTOR	FAMILY NAME ARUNKUNDRAM	FIRST GIVEN NAME Ravinarayan	SECOND GIVEN NAME
RESIDENCE & CITIZENSHIP	CITY Jersey City	STATE OR FOREIGN COUNTRY New Jersey	COUNTRY OF CITIZENSHIP India
POST OFFICE ADDRESS	POST OFFICE ADDRESS 201 St. Pauls Ave., Apt. 11A	CITY Jersey City	STATE & ZIP CODE/COUNTRY New Jersey 07306
Signature <i>K. Ravinarayan</i> Date <i>03/26/98</i>			



[2011/13]

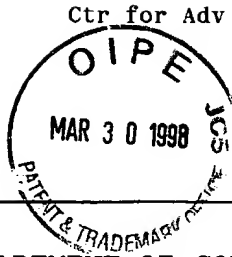
**APPOINTMENT OF POWER OF ATTORNEY
BY ASSIGNEE OF ENTIRE INTEREST**

New York University, as assignee of the entire right, title and interest in the application for patent entitled METHOD AND APPARATUS FOR MONITOR, SEARCH AND NOTIFICATION IN A NETWORK, the specification of which was filed on January 26, 1998, as Serial No. 09/013,490, does hereby appoint Michael J. Lennon, Reg. No. 26,562, Thomas F. Meagher, Reg. No. 29,831 and Gary Abelev, Reg. No. 40,479, of Kenyon & Kenyon, One Broadway, New York, New York 10004, as its attorneys with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

NEW YORK UNIVERSITY

Date: 3/26/98

By: Isaac R. Kohlberg
Name: ISAAC Kohlberg
Title: vice President, Industrial Liason



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Signer's Name	Signature <i>David J. Rohlfing</i>	Date
Signer's Title:		
Signer's Address: New York University, 550 First Avenue, New York, New York 10016		